## FOREIGN ASSISTANCE FRAMEWORK

**Goal:** To help build and sustain democratic, well-governed states that respond to the needs of their people, reduce widespread poverty and conduct themselves responsibly in the international system.

<table>
<thead>
<tr>
<th>Objectives</th>
<th>Peace and Security</th>
<th>Governing Justly and Democratically</th>
<th>Investing in People</th>
<th>Economic Growth</th>
<th>Humanitarian Assistance</th>
</tr>
</thead>
<tbody>
<tr>
<td>Accounts within State/USAID</td>
<td>FMP, TII, IMET, ESF, INL, NADR, PKO, ACI, SEED</td>
<td>DA, TI, SEED, FSA, DF, ESF, INL, IPE, ACI</td>
<td>DA, CSH, ESF, IDFA, IOAP, FSA, SEED, GHAI, ACI, Title II</td>
<td>DA, ESF, SEED, FSA, IOAP, ACI, Title II</td>
<td>IDFA, MRA, ERM, ACI, Title II</td>
</tr>
</tbody>
</table>

### Foreign Assistance Program Areas

<table>
<thead>
<tr>
<th>Category Definition</th>
<th>Rebuilding Countries</th>
<th>Developing Countries</th>
<th>Transforming Countries</th>
<th>Sustaining Partnership Countries</th>
<th>Restrictive Countries</th>
<th>Global or Regional Activities</th>
</tr>
</thead>
<tbody>
<tr>
<td>States in or emerging from and rebuilding after internal or external conflict.</td>
<td>Prevent or mitigate state failure and/or violent conflict.</td>
<td>Address key remaining challenges to security and law enforcement.</td>
<td>Support policies and programs that accelerate and strengthen public institutions and the creation of a more vibrant local government, civil society and media.</td>
<td>Provide limited resources and technical assistance to reinforce democratic institutions.</td>
<td>Support strategic partnerships addressing security, CT, WMD, and counter-narcotics.</td>
<td>Activities that advance the five objectives, transcend a single country's borders, and are addressed outside a country strategy.</td>
</tr>
<tr>
<td>Stable environment for good governance, increased availability of essential social services, and initial progress to create policies and institutions upon which future progress will rest.</td>
<td>Assist in creating and/or stabilizing a legitimate and democratic government and a supportive environment for civil society and media.</td>
<td>Start or restart the delivery of critical social services, including health and educational facilities, and begin building or rebuilding institutional capacity.</td>
<td>Assist in the construction or reconstruction of key internal infrastructure and market mechanisms to stabilize the economy.</td>
<td>Encourage economic policies and strengthen institutional capacity to promote broad-based growth.</td>
<td>Encourage reduced need for future HAV by introducing prevention and mitigation strategies, while continuing to address emergency needs.</td>
<td>End Goal of US Foreign Assistance</td>
</tr>
<tr>
<td>Advance to the Developing or Transforming Category.</td>
<td>Advance to the Transforming Category.</td>
<td>Advance to the Sustaining Partnership Category or graduate from foreign assistance.</td>
<td>Continue partnership as strategically appropriate where U.S. support is necessary to maintain progress and peace.</td>
<td>Continue partnership or graduate from foreign assistance.</td>
<td>Advance to relevant foreign assistance category.</td>
<td>Graduation Trajectory</td>
</tr>
</tbody>
</table>

**AS OF JANUARY 29, 2007**
ECONOMIC GROWTH: To generate rapid, sustained, and broad-based economic growth.

SUMMARY

Program Area 1: Macroeconomic Foundation for Growth
Program Element 1.1: Fiscal policy
  Program SubElement 1.1.1: Revenue Structure and Administration
  Program SubElement 1.1.2: Expenditure Structure and Management

Program Element 1.2: Monetary policy
  Program SubElement 1.2.1: Interest Rate Regime
  Program SubElement 1.2.2: Net Domestic Credit Management
  Program SubElement 1.2.3: Exchange Rate Regime
  Program SubElement 1.2.4: Net Foreign Assets Management
  Program SubElement 1.2.5: Debt Management

Program Element 1.3: Program Support
  Program SubElement 1.3.1: Host Country Strategic Information Capacity
  Program SubElement 1.3.2: Program Design and Learning
  Program SubElement 1.3.3: Personnel

Program Area 2: Trade and Investment
Program Element 2.1: Trade and Investment Enabling Environment
  Program SubElement 2.1.1: Mainstreaming Trade for Growth and Poverty Reduction
  Program SubElement 2.1.2: Trade Liberalization and Agreements
  Program SubElement 2.1.3: Trade Facilitation
  Program SubElement 2.1.4: Support for International Standards

Program Element 2.2: Trade and Investment Capacity
  Program SubElement 2.2.1: Trade Communication and Technologies
  Program SubElement 2.2.2: Trade Knowledge and Skills
  Program SubElement 2.2.3: Non-Agricultural Standards Compliance

Program Element 2.3: Program Support
  Program SubElement 2.3.1: Host Country Strategic Information Capacity
  Program SubElement 2.3.2: Program Design and Learning
  Program SubElement 2.3.3: Personnel

Program Area 3: Financial Sector
Program Element 3.1: Financial Sector Enabling Environment
  Program SubElement 3.1.1: Sector Liberalization and Strengthening
  Program SubElement 3.1.2: Supervision
  Program SubElement 3.1.3: Corporate Governance
  Program SubElement 3.1.4: Accounting and Financial Transparency
Program Element 3.2:  Financial Services
  Program SubElement 3.2.1:  Banking
  Program SubElement 3.2.2:  Systems and Risk Management
  Program SubElement 3.2.3:  Equity and Debt Markets
  Program SubElement 3.2.4:  Insurance and Private Pension Funds
  Program SubElement 3.2.5:  Special Fund Programs
  Program SubElement 3.2.6:  Credit Guarantees

Program Element 3.3:  Program Support
  Program SubElement 3.3.1:  Host Country Strategic Information Capacity
  Program SubElement 3.3.2:  Program Design and Learning
  Program SubElement 3.3.3:  Personnel

Program Area 4: Infrastructure

Program Element 4.1:  Modern Energy Services
  Program SubElement 4.1.1:  Basic Energy Infrastructure Project Financing
  Program SubElement 4.1.2:  Legal and Regulatory Development
  Program SubElement 4.1.3:  Energy Sector Restructuring and Corporatization
  Program SubElement 4.1.4:  Commercialization and Management Improvement
  Program SubElement 4.1.5:  Market Design, Implementation and Monitoring
  Program SubElement 4.1.6:  Energy Efficiency, Renewable Energy, and Decentralized Energy Technologies and Financing
  Program SubElement 4.1.7:  Privatization and Private Investment Promotion
  Program SubElement 4.1.8:  Expanded Access to Rural and Low Income Areas

Program Element 4.2:  Communications Services
  Program SubElement 4.2.1:  Basic Communications Infrastructure Financing
  Program SubElement 4.2.2:  Legal and Regulatory Development
  Program SubElement 4.2.3:  Expanded Access to ICT Services and Applications
  Program SubElement 4.2.4:  Restructuring and Corporatization
  Program SubElement 4.2.5:  Privatization and Promotion of Private Investment

Program Element 4.3:  Transport Services
  Program SubElement 4.3.1:  Basic Transport Infrastructure Financing
  Program SubElement 4.3.2:  Legal and Regulatory Restructuring and Governance
  Program SubElement 4.3.3:  Transport Policy and Planning
  Program SubElement 4.3.4:  Financing and Investment Promotion
Program Element 4.4: Program Support
  Program SubElement 4.4.1: Host Country Strategic Information Capacity
  Program SubElement 4.4.2: Program Design and Learning
  Program SubElement 4.4.3: Personnel

Program Area 5: Agriculture
Program Element 5.1: Agricultural Enabling Environment
  Program SubElement 5.1.1: Agricultural Resource Policy
  Program SubElement 5.1.2: Food Policy
  Program SubElement 5.1.3: Agricultural Market Standards and Regulations
  Program SubElement 5.1.4: Public Investment Policy

Program Element 5.2: Agricultural Sector Productivity
  Program SubElement 5.2.1: Research and Technology Dissemination
  Program SubElement 5.2.2: Land and Water Management
  Program SubElement 5.2.3: Rural and Agricultural Finance
  Program SubElement 5.2.4: Agribusiness and Producer Organizations
  Program SubElement 5.2.5: Markets and Trade Capacity
  Program SubElement 5.2.6: Emerging Agricultural Threats
  Program SubElement 5.2.7: Agricultural Safety Nets and Livelihood Services

Program Element 5.3: Program Support
  Program SubElement 5.3.1: Host Country Strategic Information Capacity
  Program SubElement 5.3.2: Program Design and Learning
  Program SubElement 5.3.3: Personnel

Program Area 6: Private Sector Competitiveness
Program Element 6.1: Business Enabling Environment
  Program SubElement 6.1.1: Property Rights
  Program SubElement 6.1.2: Business and Organizations
  Program SubElement 6.1.3: Transactions
  Program SubElement 6.1.4: Competitiveness

Program Element 6.2: Private Sector Productivity
  Program SubElement 6.2.1: Business Management, Marketing, and Governance Practices
  Program SubElement 6.2.2: Sector Supply and Value Chains
  Program SubElement 6.2.3: Research and Technology Development and Application
  Program SubElement 6.2.4: Business, Trade Unions, and Professional Association Development

Program Element 6.3: Workforce Development
  Program SubElement 6.3.1: Systemic Reform
  Program SubElement 6.3.2: Partnership Development
Program SubElement 6.3.3: Workforce Readiness
Program SubElement 6.3.4: Technical/Vocational Training for Employment

Program Element 6.4: Program Support
Program SubElement 6.4.1: Host Country Strategic Information Capacity
Program SubElement 6.4.2: Program Design and Learning
Program SubElement 6.4.3: Personnel

Program Area 7: Economic Opportunity
Program Element 7.1: Inclusive Financial Markets
Program SubElement 7.1.1: Policy Environment for Microfinance
Program SubElement 7.1.2: Investments in Microfinance
Program Element 7.2: Policy Environment for Micro and Small Enterprises
Program SubElement 7.2.1: Reduce Barriers to Registering Micro and Small Enterprises
Program SubElement 7.2.2: Reduce Regulatory Burden on Micro and Small Enterprises

Program Element 7.3: Strengthen Microenterprise Productivity
Program SubElement 7.3.1: Microenterprise Business Development Services
Program SubElement 7.3.2: Value Chains for Micro and Small Enterprises
Program SubElement 7.3.3: Entrepreneurship Development

Program Element 7.4: Inclusive Economic Law and Property Rights
Program SubElement 7.4.1: Equal Economic Rights for Women and Other Disadvantaged Groups
Program SubElement 7.4.2: Property Rights for the Poor

Program Element 7.5: Program Support
Program SubElement 7.5.1: Host Country Strategic Information Capacity
Program SubElement 7.5.2: Program Design and Learning
Program SubElement 7.5.3: Personnel

Program Area 8: Environment
Program Element 8.1: Natural Resources and Biodiversity
Program SubElement 8.1.1: Natural Resource Policy and Governance
Program SubElement 8.1.2: Sustainable Natural Resource Management and Production
Program SubElement 8.1.3: Biodiversity Policy and Governance
Program SubElement 8.1.4: Biodiversity Conservation
Program SubElement 8.1.5: International Cooperation
Program SubElement 8.1.6: Science, Technology and Information

Program Element 8.2: Clean Productive Environment
Program SubElement 8.2.1: Clean Productive Environment
Policy and Governance
Program SubElement 8.2.2: Clean Production
Program SubElement 8.2.3: Clean Energy
Program SubElement 8.2.4: Climate Change
Program SubElement 8.2.5: Air Quality
Program SubElement 8.2.6: Sound Management of Waste
Program SubElement 8.2.7: Toxic Substances
Program SubElement 8.2.8: Science and Technology as a Tool for Environmental Decision-Making

Program Element 8.3: Program Support
Program SubElement 8.3.1: Host Country Strategic Information Capacity
Program SubElement 8.3.2: Program Design and Learning
Program SubElement 8.3.3: Personnel

DEFINITIONS:

Program Area 1: Macroeconomic Foundation for Growth
Establish a stable and predictable macroeconomic environment that encourages the private sector to make productivity- and growth-enhancing investments. A solid macroeconomic foundation for growth consists of stable fiscal and monetary policies and institutions and the ability of the government to utilize these tools, in concert, to manipulate the economy.

Program Element 1.1: Fiscal Policy
Definition: Encourage and help countries to apply sustainable and efficient fiscal policies, which consist of establishing revenue and expenditure structures and develop management techniques that allow a government to manage the economy through the expansion and contraction of government spending. All support for fiscal policy should be decentralized to the appropriate level of government, and seek to eliminate the potential for corruption.

Program SubElement 1.1.1: Revenue Structure and Administration
Definition: Develop revenue/tax systems and administrations that generate the resources needed to support key public functions. These systems and administrations need to be fair, easy to administer, and minimize distortions to economic activity.

Program SubElement 1.1.2: Expenditure Structure and Management
Definition: Design and administer public expenditure systems that are transparent, lend themselves to equitable and timely budget formulation, and plan for the needs of the entire population.

Program Element 1.2: Monetary Policy
Definition: Monetary policy consists of various mechanisms, such as interest rates, to allow the government to manage the economy by expanding or contracting the money supply. Programs must encourage and help host countries to apply monetary policies that keep inflation low in the short- and long-term,
**Definition:** Establish and/or strengthen host country institutions' management information systems (MIS) and their development and use of tools and models to collect, analyze and disseminate a variety of information related to the program area. These may include, but are not limited to MIS for government ministries or other host country institutions, needs assessments, baseline studies, censuses and surveys, targeted evaluations, special studies, routine surveillance, data quality assessments, and operational research. This sub-element may also include developing and disseminating best practices and lessons learned and testing demonstration and/or pilot models. Related training, supplies, equipment, and non-USG personnel are included.

**Program SubElement 4.4.2: Program Design and Learning**

**Definition:** Develop and conduct needs assessments, baseline studies, targeted evaluations, special studies or other information-gathering efforts specifically for the design, monitoring and evaluation of USG-funded programs. This sub-element may also include developing and disseminating best practices and lessons learned, testing demonstration and/or pilot models, or the preparation of strategic plans and other short-term programming tasks. Note: All such activities that are carried out by partners as an integral part of their monitoring and evaluation efforts for programs funded under other sub-elements should be included within those sub-elements.

**Program SubElement 4.4.3: Personnel**

**Definition:** Provide staff to help manage, administer and support programs in this area including related salaries, travel, housing, office space, equipment, training, and other personnel-related expenses (e.g. ICASS costs).

**Program Area 5: Agriculture**

Agriculture is the science and practice of food, feed, and fiber production (including forestry, wildlife, fisheries, aquaculture and floriculture) and its relationships to natural resources, processing, marketing, distribution, utilization (including nutrition), and trade.

**Program Element 5.1: Agricultural Enabling Environment**

**Definition:** Support agricultural policies, institutions, and agricultural markets; foster adoption of improved technology; invest in infrastructure at all levels, and enhance the natural resource base in ways that respond to the needs of men and women producers.

**Program SubElement 5.1.1: Agricultural Resource Policy**

**Definition:** Support institutions and equitable policies that foster sustainable utilization of land, water, plant, and animal resources to enhance agricultural productivity and incomes, increase resource quality and quantity, and decrease degradation of productive resources. This includes access to and securing property rights over agricultural resources, including by female-headed households and returning internally displaced persons and refugees, and it includes increasing returns of agricultural labor.

**Program SubElement 5.1.2: Food Policy**
Definition: Support institutions, policies and incentives aimed at ensuring that adequate, safe, and nutritious food is available; markets function efficiently; and that low-income groups and those vulnerable to food insecurity (e.g., female farmers with small land holdings, female-headed households, children, and HIV-affected) are able to access and appropriately utilize that food.

Program SubElement 5.1.3: Agricultural Market Standards and Regulations

Definition: Improve laws, institutions, and policies that impact market transactions of agricultural goods, inputs, practices, and services. This includes international policies such as agriculture-related agreements of the WTO; domestic science-based regulation to ensure food, feed, and environmental safety; and market-based or industry-led quality grades, standards, and certification.

Program SubElement 5.1.4: Public Investment Policy

Definition: Improve institutions and policies that encourage increased and more effective public and private investments in agricultural institutions and infrastructure to provide the basis for expanded productivity in the agricultural sector. This includes support for (1) scientific and technological advances through research and development, (2) governmental actions that provide a positive climate for innovation and investment, and (3) efforts to comply with international treaties and encourage international cooperation and public-private partnerships.

Program Element 5.2: Agricultural Sector Productivity

Definition: Sustain the productivity of the agricultural sector through investments that foster increasing returns to land, labor, and capital. Targeted interventions to male and female producers provide improvements in technology and management practices, expanded access to markets and credit, increased organizational and market efficiency, and restoration and protection of resiliency in production and livelihood systems.

Program SubElement 5.2.1: Research and Technology Dissemination

Definition: Support scientific research and technology, including biotechnology, that generates improvements in production systems (crop, livestock, farm, forest, fisheries), value-added products, and management practices leading to sustainable productivity gains, mitigation of risk, and income growth. It also supports dissemination and adoption of productivity-enhancing and post harvest technologies, value-added products, and management practices in these areas by reducing the barriers that may constrain male or female producers, processors, and manufacturers.

Program SubElement 5.2.2: Land and Water Management

Definition: Develop and invest in the quantity and quality of land and water resources, including irrigation and soil fertility, riparian and range management, and water resources to improve and sustainably increase
agricultural productivity and incomes. This includes related land and water administration systems.

**Program SubElement 5.2.3:** Rural and Agricultural Finance

**Definition:** Increase equitable access to financial services by male and female farmers in rural areas and for agricultural enterprises to purchase necessary inputs; introduce new technologies; expand productive capacity; and finance storage, transport, and marketing costs. Also includes access to mechanisms and products that reduce seasonal income and consumption variability, protect and build assets, and mitigate price and weather risk. (Link to Microfinance 7.1.2 and Financial Services 3.2, as appropriate.)

**Program SubElement 5.2.4:** Agribusiness and Producer Organizations

**Definition:** Support the growth of small and medium agro-enterprises, including producer organizations/associations, which are engaged in producing, marketing, or adding value (e.g. processing and quality enhancement) to crop, livestock, forestry, and fishery products. Support addresses the needs and capacities of both men and women producers and includes such areas as adoption of technology and technical processes, businesses and human resources management, environmental regulatory compliance, and organizational governance.

**Program SubElement 5.2.5:** Markets and Trade Capacity

**Definition:** Build capacity to link small-scale producers (men and women), pastoralists, and small to medium enterprises to the economic opportunities of commercial markets. This includes both input and output markets at the local, regional, and international levels. Interventions include areas such as the development of risk management strategies; warehouse receipt, agricultural commodity trading and accessible market information systems; meeting market standards; and public and private investments that support efficient agricultural marketing such as storage facilities, cold storage, packaging facilities, and agricultural processing facilities.

**Program SubElement 5.2.6:** Emerging Agricultural Threats

**Definition:** Strengthen plant and animal disease surveillance and the control of emerging agricultural pests and diseases (e.g. Wheat Stem Rust) to mitigate productivity losses, allow access to international markets, reduce risks to human health, improve food safety, and reduce the risk of introduction of diseases into the U.S.

**Program SubElement 5.2.7:** Agricultural Livelihood Services and Safety Nets

**Definition:** Support risk management and economic diversification, transfer and adaptation of proven technologies and human organization innovations to increase market access, food or cash transfers in exchange for public works; and resource transfers and/or agricultural inputs (e.g. seeds, tools, and livestock) which enable male and female producers to try new technologies and production methods that would otherwise not be available to them.
Program Element 5.3: Program Support
Definition: This is a special element that is used to support: 1) activities that strengthen the ability of host country institutions and the USG to use strategic information for decision-making, program performance assessment, and learning; and 2) the staffing and tools needed by the USG for program management and oversight under this area.

Program SubElement 5.3.1: Host Country Strategic Information Capacity
Definition: Establish and/or strengthen host country institutions’ management information systems (MIS) and their development and use of tools and models to collect, analyze and disseminate a variety of information related to the program area. These may include, but are not limited to MIS for government ministries or other host country institutions, needs assessments, baseline studies, censuses and surveys, targeted evaluations, special studies, routine surveillance, data quality assessments, and operational research. This sub-element may also include developing and disseminating best practices and lessons learned and testing demonstration and/or pilot models. Related training, supplies, equipment, and non-USG personnel are included.

Program SubElement 5.3.2: Program Design and Learning
Definition: Develop and conduct needs assessments, baseline studies, targeted evaluations, special studies or other information-gathering efforts specifically for the design, monitoring and evaluation of USG-funded programs. This sub-element may also include developing and disseminating best practices and lessons learned, testing demonstration and/or pilot models, or the preparation of strategic plans and other short-term programming tasks. Note: All such activities that are carried out by partners as an integral part of their monitoring and evaluation efforts for programs funded under other sub-elements should be included within those sub-elements.

Program SubElement 5.3.3: Personnel
Definition: Provide staff to help manage, administer and support programs in this area including related salaries, travel, housing, office space, equipment, training, and other personnel-related expenses (e.g. ICASS costs).

Program Area 6: Private Sector Competitiveness
Improve policies, laws, regulations, and administrative practices affecting the private sector’s ability to compete nationally and internationally. All the elements include not only the adoption and implementation of policies, but also their oversight by elected officials, NGOs, and the private sector.

Program Element 6.1: Business Enabling Environment
Definition: Improve policies, laws, regulations, and administrative practices affecting the private sector. Includes reducing barriers to competition and unwarranted distortions to market prices; reducing policy and regulatory barriers to establishing, operating, and closing businesses; strengthening the legal framework surrounding property rights that is fair to both men and women,
## Section 5: Implementing Mechanism

<table>
<thead>
<tr>
<th>Prime Partner Name:</th>
<th>A prime partner is an entity which receives funding directly from, and has a direct contractual relationship (contract, cooperative agreement, grant, etc.) with, the USG Agency.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Implementing Mechanism Name:</td>
<td>The Implementing Mechanism Name is the name given to the award. This could also be considered the &quot;project&quot; name. This call should include the contract/agreement number and the name.</td>
</tr>
<tr>
<td>Implementing Mechanism Location:</td>
<td>The selection here should answer the question of &quot;where is the procurement for this specific funding action taking place?&quot;</td>
</tr>
<tr>
<td>1. Central/Functional</td>
<td></td>
</tr>
<tr>
<td>2. Central/Regional</td>
<td></td>
</tr>
<tr>
<td>3. Field/Regional</td>
<td></td>
</tr>
<tr>
<td>4. Field/Bilateral</td>
<td></td>
</tr>
<tr>
<td>Implementing Mechanism Type:</td>
<td>The Implementing Mechanism Type (or instrument) is a contract, grant, bilateral agreement, or other mechanism that obligates or sub-obligates funds.</td>
</tr>
<tr>
<td>1. Direct Contract</td>
<td></td>
</tr>
<tr>
<td>2. Direct Grant/Cooperative Agreement</td>
<td></td>
</tr>
<tr>
<td>3. Cash-Transfers</td>
<td></td>
</tr>
<tr>
<td>4. Other USG direct</td>
<td></td>
</tr>
<tr>
<td>5. Host government managed</td>
<td></td>
</tr>
<tr>
<td>6. Third party managed</td>
<td></td>
</tr>
<tr>
<td>USG Agency:</td>
<td>The Operating Unit should select the USG Agency that manages the implementing mechanism.</td>
</tr>
<tr>
<td>Program Element:</td>
<td></td>
</tr>
</tbody>
</table>

### Implementing Mechanism Narrative: (limit 1,200 characters)

Give enough detail for reviewers to understand what the implementing mechanism entails, and what will be accomplished through this implementing mechanism in the Program Element. The specific items that should be mentioned in each narrative include: A clear statement of the expected result; How this activity will help you reach the short-term and long-term goals outlined in the Program Element Overview, section V.C.2.i above and address opportunities and challenges; How you will reach the specific planned targets; Flag new activities as distinct from on-going activities; Populations that will be targeted (see sub-section iii, below); Key issues that will be addressed (see sub-section iv, below); Sub-Elements; and Component areas (see sub-section v, below).

### Indicators/Targets: For each implementing mechanism, you are asked to give targets for the indicators that the implementing mechanism will aim for in FY 2007 and FY 2008 with this funding. Start with the data from FY 06 – end of FY 06 (September 30, 2006): results achieved in your ongoing USG program. The same common indicators that appeared in the Program Element section are included here against which to set targets.

<table>
<thead>
<tr>
<th></th>
<th>9/30/06</th>
<th>9/30/07</th>
<th>9/30/08</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3.</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Target Populations: (select as many as appropriate)

The primary direct target beneficiary for the activity

- General population
  - Infants (age 0-12 months)
  - Children under 5 (age 0-5 years)
  - Children and youth (non-OVC)
  - Girls (age 5-14)
<table>
<thead>
<tr>
<th>Category</th>
<th>Subcategory</th>
</tr>
</thead>
<tbody>
<tr>
<td>Boys (age 5-14)</td>
<td></td>
</tr>
<tr>
<td>Youth (age 15-24)</td>
<td></td>
</tr>
<tr>
<td>Adults (24-49)</td>
<td></td>
</tr>
<tr>
<td>Men</td>
<td></td>
</tr>
<tr>
<td>Women</td>
<td></td>
</tr>
<tr>
<td>Pregnant women</td>
<td></td>
</tr>
<tr>
<td>Elderly (49+)</td>
<td></td>
</tr>
<tr>
<td>Men</td>
<td></td>
</tr>
<tr>
<td>Women</td>
<td></td>
</tr>
<tr>
<td>People affected by HIV/AIDS</td>
<td></td>
</tr>
<tr>
<td>Orphans and Vulnerable Children (HIV/AIDS only)</td>
<td></td>
</tr>
<tr>
<td>People living with HIV/AIDS</td>
<td></td>
</tr>
<tr>
<td>HIV positive infants (0-4 years)</td>
<td></td>
</tr>
<tr>
<td>HIV positive children (5-14 years)</td>
<td></td>
</tr>
<tr>
<td>Special populations</td>
<td></td>
</tr>
<tr>
<td>Civilian Defense Personnel</td>
<td></td>
</tr>
<tr>
<td>Commercial sex workers</td>
<td></td>
</tr>
<tr>
<td>Disabled populations</td>
<td></td>
</tr>
<tr>
<td>Ethnic minorities</td>
<td></td>
</tr>
<tr>
<td>Ex-Combatants</td>
<td></td>
</tr>
<tr>
<td>HIV/AIDS Discordant couples</td>
<td></td>
</tr>
<tr>
<td>Injecting drug users</td>
<td></td>
</tr>
<tr>
<td>Internally Displaced Persons</td>
<td></td>
</tr>
<tr>
<td>Law Enforcement Officials</td>
<td></td>
</tr>
<tr>
<td>Men who have sex with men</td>
<td></td>
</tr>
<tr>
<td>Migrants/migrant workers</td>
<td></td>
</tr>
<tr>
<td>Military Personnel - Officers</td>
<td></td>
</tr>
<tr>
<td>Military Personnel - Non-commissioned officers</td>
<td></td>
</tr>
<tr>
<td>Orphans and Vulnerable Children (non-HIV/AIDS affected OVCs)</td>
<td></td>
</tr>
<tr>
<td>Out-of-school youth</td>
<td></td>
</tr>
<tr>
<td>Partners/clients of CSW</td>
<td></td>
</tr>
<tr>
<td>Populations vulnerable to trafficking</td>
<td></td>
</tr>
<tr>
<td>Prisoners</td>
<td></td>
</tr>
<tr>
<td>Refugee/IDP returnees</td>
<td></td>
</tr>
<tr>
<td>Refugees</td>
<td></td>
</tr>
<tr>
<td>Religious Minorities</td>
<td></td>
</tr>
<tr>
<td>Scientists</td>
<td></td>
</tr>
<tr>
<td>Small Farmers</td>
<td></td>
</tr>
<tr>
<td>Stability Police</td>
<td></td>
</tr>
<tr>
<td>Street youth</td>
<td></td>
</tr>
<tr>
<td>Students</td>
<td></td>
</tr>
<tr>
<td>Primary school students</td>
<td></td>
</tr>
<tr>
<td>Secondary school students</td>
<td></td>
</tr>
<tr>
<td>University students</td>
<td></td>
</tr>
<tr>
<td>Victims of torture</td>
<td></td>
</tr>
<tr>
<td>Victims of trafficking</td>
<td></td>
</tr>
<tr>
<td>Victims of war</td>
<td></td>
</tr>
<tr>
<td>USG staff</td>
<td></td>
</tr>
<tr>
<td>American Citizens</td>
<td></td>
</tr>
<tr>
<td>Foreign Service Nationals/Third Country Nationals</td>
<td></td>
</tr>
<tr>
<td>Community</td>
<td></td>
</tr>
<tr>
<td>-----------------------------------------------</td>
<td>-------------------------------</td>
</tr>
<tr>
<td>Business Community/Private Sector</td>
<td></td>
</tr>
<tr>
<td>Community leaders</td>
<td></td>
</tr>
<tr>
<td>Religious leaders</td>
<td></td>
</tr>
<tr>
<td>Program managers</td>
<td></td>
</tr>
<tr>
<td>Volunteers</td>
<td></td>
</tr>
<tr>
<td>Host country government workers</td>
<td></td>
</tr>
<tr>
<td>Local host country government workers</td>
<td></td>
</tr>
<tr>
<td>National host country government workers</td>
<td></td>
</tr>
<tr>
<td>Political Parties/Political Leaders</td>
<td></td>
</tr>
<tr>
<td>Groups/Organizations</td>
<td></td>
</tr>
<tr>
<td>Community-based organizations</td>
<td></td>
</tr>
<tr>
<td>Faith-based organizations</td>
<td></td>
</tr>
<tr>
<td>Host Country Government Agencies</td>
<td></td>
</tr>
<tr>
<td>Regional Organizations</td>
<td></td>
</tr>
<tr>
<td>Non-governmental organizations/private voluntary organizations</td>
<td></td>
</tr>
<tr>
<td>Women’s Associations</td>
<td></td>
</tr>
<tr>
<td>Urban Populations</td>
<td></td>
</tr>
<tr>
<td>Rural Populations</td>
<td></td>
</tr>
<tr>
<td>Endangered Species</td>
<td></td>
</tr>
<tr>
<td>Birds of Prey</td>
<td></td>
</tr>
<tr>
<td>Cheetahs</td>
<td></td>
</tr>
<tr>
<td>Great Apes</td>
<td></td>
</tr>
<tr>
<td>Other Endangered Species</td>
<td></td>
</tr>
<tr>
<td>Endangered Ecosystems</td>
<td></td>
</tr>
<tr>
<td>Other</td>
<td></td>
</tr>
</tbody>
</table>

**Key Issues:** The key issues will be used to facilitate responding to Administration and Congressional priorities. It is critically important that they reflect the in-country or global/regional program reality as closely as possible. For each key issue, you will have to include dollar amounts going to those areas.

<table>
<thead>
<tr>
<th>$ Amount:</th>
</tr>
</thead>
</table>

- Africa Education Initiative (AEI)
- African Global Competitiveness Initiative (AGCI)
- Anti-Corruption
- Biodiversity
- Civil Society
- Clean Energy
- Community Mobilization/Participation
- Conflict Mitigation/Prevention/Transformation
- Counter Terrorism
- Dairy
- Food Security
- Gender
- Increasing gender equity
- Reducing gender based violence and exploitation
- Global Climate Change
- Inclusive Development: Participation of People with Disabilities
- Initiative to End Hunger in Africa (IEHA)
- Invasive Species
- Local Organization Capacity Development
- Microenterprise
- Public-Private Partnerships
<table>
<thead>
<tr>
<th>Component Areas: A specific implementing mechanism may be characterized by the types of activities it includes.</th>
<th>N/A</th>
<th>1-25%</th>
<th>26-50%</th>
<th>51-75%</th>
<th>76-100%</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Capital</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2. Commodities</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3. Construction/Rehabilitation</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4. Operations Support</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>5. Technical Assistance</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>6. Training</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>7. Other - Explain</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Mechanism Sub-Elements:</th>
<th>$ Amount:</th>
<th>Funding Account:</th>
<th>Benefiting Country (only applicable for Central/Regional Programs)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4.</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
## Section 6: Sub-Partners

<table>
<thead>
<tr>
<th>Program Element:</th>
<th>Sub-Partner Name:</th>
<th>$ Amount:</th>
<th>Sub-Elements:</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>1.</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>2.</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>3.</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>4.</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>5.</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>6.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Objectives</td>
<td>Program Areas</td>
<td>Program Elements</td>
<td>Indicator Title</td>
</tr>
<tr>
<td>------------------</td>
<td>---------------------</td>
<td>----------------------------------------------------------------------------------</td>
<td>---------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>Economic Growth</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Program Support</td>
<td>Number of host country institutions with improved management information systems as a result of USG assistance</td>
<td>Numerical</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Number of host country institutions that have used USG-assisted MIS system information to inform administrative/management decisions</td>
<td>Numerical</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Number of people trained in monitoring and evaluation with USG assistance</td>
<td>Numerical</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Number of people trained in research with USG assistance</td>
<td>Numerical</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Number of people trained in strategic information management with USG assistance</td>
<td>Numerical</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Number of baseline or feasibility studies prepared by the USG</td>
<td>Numerical</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Number of monitoring plans prepared by the USG</td>
<td>Numerical</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Number of sector assessments conducted by the USG</td>
<td>Numerical</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Number of evaluations conducted by the USG</td>
<td>Numerical</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Number of special studies conducted by the USG</td>
<td>Numerical</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Number of information gathering or research activities conducted by the USG</td>
<td>Numerical</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Program Area Indicators: Agriculture</strong></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Percent change in growth in agriculture value added</td>
<td>Numerical</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Percent change in per capita food production index</td>
<td>Numerical</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Percent change in rural income of targeted population</td>
<td>Numerical</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Program Element Indicators</strong></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Number of policy reforms analyzed with USG assistance</td>
<td>Numerical</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Number of policy reforms presented for legislation/decree as a result of USG assistance</td>
<td>Numerical</td>
</tr>
<tr>
<td>Communications Services</td>
<td>Infrastructure</td>
<td>Legal separation of generation, transmission and distribution functions in the electricity sector established this year with USG assistance</td>
<td>Y/N</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Transport Services</strong></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Kilometers of transportation infrastructure constructed or repaired through USG assistance</td>
<td>Numerical</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Total public and private dollars leveraged with USG for transportation infrastructure projects</td>
<td>Numerical</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Number of people benefiting from USG sponsored transportation infrastructure projects</td>
<td>Numerical</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Number of private institutions benefiting from USG sponsored transportation infrastructure projects</td>
<td>Numerical</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Has the government adopted improved transportation related policies or plans this year as a result of USG assistance</td>
<td>Y/N</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Number of people receiving USG supported training in transportation related policy and regulatory practices</td>
<td>Numerical</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Number of people receiving USG supported training in transportation technical fields,</td>
<td>Numerical</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Number of women</td>
<td>Numerical</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Number of men</td>
<td>Numerical</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Number of people receiving USG supported training in transportation management systems,</td>
<td>Numerical</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Number of women</td>
<td>Numerical</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Number of men</td>
<td>Numerical</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Program Support</strong></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Communications Services</strong></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Number of energy enterprises with improved business operations as a result of USG assistance</td>
<td>Numerical</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Total public and private dollars leveraged by USG for energy infrastructure projects</td>
<td>Numerical</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Number of energy companies prepared and offered for privatization as a result of USG assistance</td>
<td>Numerical</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Number of people with access to cellular service as a result of USG assistance</td>
<td>Numerical</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Number of people with access to internet service as a result of USG assistance</td>
<td>Numerical</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Total Public and private dollars leveraged by USG for communication infrastructure projects</td>
<td>Numerical</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Number of public institutions with access to telecommunication services as a result of USG assistance</td>
<td>Numerical</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Average decrease in cost to final customer receiving cellular service after USG assistance</td>
<td>Numerical</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Average decrease in cost to final customer receiving internet service after USG assistance</td>
<td>Numerical</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Number of telecommunications utilities prepared and offered for privatization as a result of USG assistance</td>
<td>Numerical</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Number of private sector internet service providers established as a result of USG assistance</td>
<td>Numerical</td>
</tr>
</tbody>
</table>

Page 26 of 33
<table>
<thead>
<tr>
<th>Objectives</th>
<th>Program Areas</th>
<th>Program Elements</th>
<th>Indicator Title</th>
<th>Answer Value</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Agricultural Enabling Environment</td>
<td>Number of institutions/organizations undergoing capacity/competency assessments as a result of USG assistance</td>
<td>Numerical</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Agricultural Enabling Environment</td>
<td>Number of institutions/organizations making significant improvements based on recommendations made via USG supported assessment</td>
<td>Numerical</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Agricultural Enabling Environment</td>
<td>Number of individuals who have received USG supported short-term agricultural enabling environment training</td>
<td>Numerical</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Agricultural Enabling Environment</td>
<td>Number of women</td>
<td>Numerical</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Agricultural Enabling Environment</td>
<td>Number of men</td>
<td>Numerical</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Agricultural Sector Productivity</td>
<td>Number of individuals who have received USG supported long-term agricultural enabling environment training</td>
<td>Numerical</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Agricultural Sector Productivity</td>
<td>Number of women</td>
<td>Numerical</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Agricultural Sector Productivity</td>
<td>Number of men</td>
<td>Numerical</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Agriculture</td>
<td>Percent change in value of intra-regional exports of targeted agricultural commodities as a result of USG assistance</td>
<td>Numerical</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Agriculture</td>
<td>Percent change in value of international exports of targeted agricultural commodities as a result of USG assistance</td>
<td>Numerical</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Agriculture</td>
<td>Number of new technologies or management practices under research as a result of USG assistance</td>
<td>Numerical</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Agriculture</td>
<td>Number of new technologies or management practices made available for transfer as a result of USG assistance</td>
<td>Numerical</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Agriculture</td>
<td>Number of additional hectares under improved technologies or management practices as a result of USG assistance</td>
<td>Numerical</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Agriculture</td>
<td>Number of additional surveillance and/or control systems in place for agricultural threats (biological and environmental) as a result of USG assistance</td>
<td>Numerical</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Agriculture</td>
<td>Number of vulnerable households benefiting directly from USG assistance</td>
<td>Numerical</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Agriculture</td>
<td>Number of rural households benefiting directly from USG interventions</td>
<td>Numerical</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Agriculture</td>
<td>Number of producers, organizations, water users associations, trade and business associations, and community-based organizations (CBOs) receiving USG assistance</td>
<td>Numerical</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Agriculture</td>
<td>Number of agriculture-related firms benefiting directly from USG supported interventions</td>
<td>Numerical</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Agriculture</td>
<td>Number of public-private partnerships formed as a result of USG assistance</td>
<td>Numerical</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Agriculture</td>
<td>Number of individuals who have received USG supported short-term agricultural sector productivity training</td>
<td>Numerical</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Agriculture</td>
<td>Number of women</td>
<td>Numerical</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Agriculture</td>
<td>Number of men</td>
<td>Numerical</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Agriculture</td>
<td>Number of individuals who have received USG supported long-term agricultural sector productivity training</td>
<td>Numerical</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Agriculture</td>
<td>Number of women</td>
<td>Numerical</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Agriculture</td>
<td>Number of men</td>
<td>Numerical</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Agriculture</td>
<td>Percent change in value of purchases from smallholders of targeted commodities</td>
<td>Numerical</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Agriculture</td>
<td>Number of women's organizations/associations assisted as a result of USG interventions</td>
<td>Numerical</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Agriculture</td>
<td>Amount of Private Financing Mobilized with a DCA Guarantee</td>
<td>Numerical</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Agriculture</td>
<td>Percent change in value of purchases from smallholders of targeted commodities as a result of USG assistance</td>
<td>Numerical</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Agriculture</td>
<td>Number of women's organizations/associations assisted as a result of USG supported interventions</td>
<td>Numerical</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Program Support</td>
<td>Number of host country institutions with improved management information systems as a result of USG assistance</td>
<td>Numerical</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Program Support</td>
<td>Number of host country institutions that have used USG-assisted MIS system to inform administrative/management decisions</td>
<td>Numerical</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Program Support</td>
<td>Number of people trained in monitoring and evaluation with USG assistance</td>
<td>Numerical</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Program Support</td>
<td>Number of people trained in research with USG assistance</td>
<td>Numerical</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Program Support</td>
<td>Number of people trained in strategic information management with USG assistance</td>
<td>Numerical</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Program Support</td>
<td>Number of baseline or feasibility studies prepared by the USG</td>
<td>Numerical</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Program Support</td>
<td>Number of monitoring plans prepared by the USG</td>
<td>Numerical</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Program Support</td>
<td>Number of sector assessments conducted by the USG</td>
<td>Numerical</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Program Support</td>
<td>Number of evaluations conducted by the USG</td>
<td>Numerical</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Program Support</td>
<td>Environmental conflict</td>
<td>Numerical</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Program Support</td>
<td>Environmental gender</td>
<td>Numerical</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Program Support</td>
<td>Environmental other</td>
<td>Numerical</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Program Support</td>
<td>Number of special studies conducted by the USG</td>
<td>Numerical</td>
<td></td>
</tr>
</tbody>
</table>
ANNEX M: List and Definitions of the Drop Down Selections

Implementing Mechanism Location
Central/Functional
Select this option if the procurement action is taking place in one of the Functional Bureaus at the Agency headquarters. This would include field support (USAID) to a functional bureau managed activity, MAARDS (USAID) to a functional bureau managed activity, buy-ins to functional bureau managed activities, task orders to functional bureau managed activities and PASA activities.

Central/Regional
Select this option if the procurement action is taking place in one of the Regional Bureaus at the Agency headquarters. This would include field support (USAID) to a regional bureau managed activity, MAARDS (USAID) to a regional bureau managed activity, buy-ins to regional bureau managed activities, tasks orders to regional bureau managed activities and PASA activities.

Field/Bilateral
Select this option if the procurement action is taking place in the country where the Operational Plan is being formulated. This includes local mechanisms such as, contracts or grants with the host country government, Associate Awards (USAID), and in-country RFA/RFP/RFC that is not yet awarded.

Field/Regional
Select this option if the procurement action is taking place in one of the Field Regional Platforms.

Implementing Mechanism Type
Direct Contract
With this mechanism, the Foreign Assistance resources are more closely managed and the achievement of outputs and results are generated through contract instruments where the USA is a direct party with the implementing entity and USG procurement rules and practices are used (e.g., Federal Acquisition Regulations). Includes direct USG Contracts. The important point is that the program/activity implementer has a direct relationship with the responsible USG Operating Units.

Direct Grant/Cooperative Agreement
With this mechanism, the Foreign Assistance resources are more closely managed and the achievement of outputs and results are generated through agreement or grants instruments where the USA is a direct party with the implementing entity and USG procurement rules and practices are used (e.g., Federal Acquisition Regulations). Includes Grants, and Cooperative Agreements, USG Interagency Agreements such as PASA's, RSSA's, and CASU's. The important point is that the program/activity implementer has a direct relationship with the responsible USG Operating Units.

Cash-Transfers
Includes only direct cash transfers to Host Country Governments.

Other USG direct
With this mechanism, the Foreign Assistance resources are more closely managed and the achievement of outputs and results are generated through instruments where the USA is a direct party with the implementing entity and USG procurement rules and practices
are used (e.g., Federal Acquisition Regulations). Includes those that cannot be classified into one of the 3 direct categories listed above.

**Host government managed**
With this mechanism, the host government enters into a bilateral agreement with the USG Operating Unit (e.g., USAID's Strategic Objective Agreements) and then utilizes host government procurement rules and practices to execute the program/activity, maintain accountability standards and achieve results. Instruments include: Host Country Contracts and Grants, Fixed Amount Reimbursements, Performance Disbursement Agreements, Public Sector Commodity Import Programs, Public Sector Credit/Loan Programs, and Operational Grants.

**Third party managed**
With this mechanism funds are provided to third party entities and it utilizes its procurement rules and practices to execute the program/activity. The USG Operating Unit does not use Federal Acquisition Regulations. In short, the USG relies on the management practices of the Third Party to maintain accountability standards and produce results. These mechanisms may be governed by a bi-lateral agreement between the USG Operating Unit and the host government or by an agreement directly between the USG Operating Units and the third party. Instruments include: Grants to Public Int'l Org.'s, Enterprise Funds, Private Sector Commodity Import Programs, GDA, DCA-Guarantees, Mixed Credits, Endowments, etc.

**Target Populations**

- **General population**
  - Infants (age 0-12 months)
  - Children under 5 (age 0-5 years)
  - Children and youth (non-OVC)
    - Girls (age 5-14)
    - Boys (age 5-14)
  - Youth (age 15-24)
    - Girls (age 15-24)
    - Boys (age 15-24)
  - Adults (age 24-49)
    - Men
    - Women
  - Pregnant women
  - Elderly (age 49+)
    - Men
    - Women

- **People affected by HIV/AIDS**
  - Orphans and Vulnerable
    - Children (HIV/AIDS only)
  - People living with HIV/AIDS
  - HIV positive infants (0-4 years)
  - HIV positive children (5-14 years)

- **Special populations**
  - Civilian Defense Personnel
  - Commercial sex workers
  - Disabled populations
  - Entrepreneurs
  - Ethnic minorities
  - Ex-Combatants
  - HIV/AIDS Discordant couples
  - Injecting drug users
  - Internally Displaced Persons
  - Law Enforcement Officials
  - Men who have sex with men
  - Migrants/migrant workers
  - Military Personnel - Officers
  - Military Personnel - Non-commissioned officers
  - Orphans and Vulnerable
    - Children (non-HIV/AIDS affected OVCs)
  - Out-of-school youth
  - Partners/clients of CSW
  - Populations vulnerable to trafficking
  - Prisoners
  - Refugees/IDP returnees
Refugees
Religious Minorities
Scientists
Small Farmers
Stability Police
Street youth
Students
  Primary school students
  Secondary school students
  University students
Unemployed
Victims of torture
Victims of trafficking
Victims of war
USG staff
  American Citizens
  Foreign Service Nationals/Third Country Nationals
Community
  Business Community/private sector
  Community leaders
  Religious leaders
  Program managers
  Volunteers
Host country government workers
  Local host country government workers
  National host country government workers
Political Parties/Political Leaders
Groups/Organizations
  Community-based organizations
  Faith-based organizations
  Host Country Government Agencies
  Regional Organizations
  Non-governmental organizations/private voluntary organizations
  Women’s Associations
Urban Populations
Rural Populations
Endangered Species
  Birds of Prey
  Cheetahs
Great Apes
Other Endangered Species
Endangered Ecosystems
Other
Key Issues

Africa Education Initiative (AEI)
A multi-year effort to increase access to quality basic education in Africa. This key issue should only be used within African countries, for the following activities: training for teachers and administrators; scholarships and mentoring under the Ambassadors Girls Scholarship Program; development, procurement and distribution of textbooks, learning and teaching materials; improved access to learning for marginalized communities, out-of-school youth, orphans, and other vulnerable children; rehabilitation of schools; strengthening parent and community support of children's education; and HIV/AIDS awareness and mitigation among school age populations.

African Global Competitiveness Initiative (AGCI)
The AGCI promotes the export competitiveness of Sub-Saharan African enterprises in order to expand African trade with the United States, with other international trading partners and regionally within Africa by:
1. Improving the business and regulatory environment for private sector-led trade and investment;
2. Strengthening the knowledge and skills of private sector enterprises to take advantage of market opportunities;
3. Increasing access to financial services that support trade and investment; and
4. Facilitating investments in transportation, energy and ICT infrastructure.

Anti-Corruption
Activities aimed at disrupting and/or dissuading corrupt practices. Corruption is defined as the abuse of entrusted authority for private gain. This could include, but is not limited to, corruption of a political system through bribery, intimidation, extortion, vote buying, destabilization, or influence peddling; police corruption or crimes either committed by a corporation or by individuals that may be identified with a corporation or other business entity.

Biodiversity
Activities have biodiversity conservation as an explicit objective in natural and managed terrestrial and aquatic ecosystems. Activities are identified through an analysis of the threats to biodiversity and have associated indicators for biodiversity conservation. Activities may be site-based or not site specific, such as policy level initiatives. Site-based work is implemented in biologically significant areas. Ex situ conservation of wild species, and their germplasm, may also be included when explicitly linked to biodiversity conservation.

Civil Society
Activities aimed at strengthening the capacity of civic groups, professional associations, and other non-governmental advocacy groups to articulate and represent their members' interests and/or those of the public at large in regard to democracy/governance practices. Activities aim to: strengthen civic participation and increase influence of local groups through voluntary and grassroots organizations and contribute to an active civil society.

Clean Energy
Activities to directly promote and deploy energy conservation, energy efficiency, and renewable and clean energy technologies. Activities may include policy, legal, regulatory, and commercial reform; improving the operational and commercial performance of public and private sector institutions, including utilities; piloting innovative business models, financing approaches, and public-private partnerships with businesses, entrepreneurs, and NGOs to support clean energy applications; and reduce the environmental impact of energy production and use.

Community Mobilization/Participation
Activities that create the commitment and involvement of all members of the community. This includes, but is not limited to: involvement of community and women's groups and local PVOs/NGOs in program planning, policy dialogue, mobilization of community resources, peer education, education of local media and support groups.

Conflict Mitigation/Prevention/Transformation
Activities designed to reduce the threat of violent conflict by promoting peaceful resolution of differences, mitigate violence if it has already broken out, and/or establish a framework for peace and reconciliation in an ongoing conflict. Activities link directly to overt violence and would not exist absent of violent conflict, or the imminent threat of such. Activities include: negotiation and implementation of peace accords; mediation of specific disputes; community-based reconciliation; support for restorative justice, including peace and reconciliation commissions; and psychosocial counseling.

Counter Terrorism
Activities aimed at reducing terrorism and the ability of terrorist organizations to recruit and retain members. This includes activities aimed at diminishing the underlying conditions which support terrorism. Examples might include support for economic growth opportunities among men aged 18-24, or support for secular educational institutions.

Dairy
Activities that assist small dairy producers, processors and service providers maximize their abilities to increase milk yields; produce higher quality and value-added dairy products; educate consumers; and increase cash incomes to small farmers, especially women who are the primary caretakers of dairy animals; macro-dairy development (production, processing, marketing); and cooperative or producer association building.

Food Security
Activities that work towards both national and/or household level food security. National food security exists when all people, at all times, have access to sufficient, safe and nutritious food to meet their dietary needs and food preferences for an active and healthy life. Household food security means access by all members at all times to enough food for an active, healthy life. Food security includes at a minimum (1) the ready availability of nutritionally adequate and safe foods, and (2) an assured ability to acquire acceptable foods in socially acceptable ways (that is, without resorting to emergency food supplies, scavenging, stealing, or other coping strategies).
Gender

**Increasing gender equity**
Activities aimed at increasing gender equity by: Developing and implementing strategies to reach men/boys and women/girls in policies and programs; Increasing women’s skills, resources, and capacity for decision-making and leadership roles in public and private spheres; Mitigating the time demands of household work on women and girls through community efforts that provide such resources as food, education, expenses, household help, farm labor and child care; Increasing quality of and access to formal, non-formal, or alternative approaches to education; and eliminating gender bias in educational systems; Promoting, protecting, and enforcing the rights (legal and human) of women and girls; Supporting positive male norms and the engagement of men as partners and in families; and Increasing women’s access to income and productive resources.

**Reducing gender based violence and exploitation**
Activities aimed at reducing gender based violence and exploitation by: Mobilizing women/girls and men/boys to prevent and mitigate violence; Working with communities to address norms that perpetuate the acceptability of violence and to challenge harmful gender-based attitudes and practices; Supporting policies and programs to address violence in various settings (i.e., schools, workplace, legal system); Increasing access to psychosocial, legal, and health services; Supporting special protections for women and children in conflict and humanitarian emergencies; and Supporting policies and activities that protect the rights of women and children, and strengthen sanctions against violence, including harmful traditional practices.

Global Climate Change
Activities with a primary purpose of addressing global climate change. Activities include: preparing greenhouse gas inventories and actions plans; promoting achievement of the goals of the UN Framework Convention on Climate Change; reducing, measuring, monitoring or reporting greenhouse gas emissions; and assessing or reducing vulnerability while increasing adaptation to the impacts of climate change.

Inclusive Development: Participation of People with Disabilities
Activities designed to reduce the barriers and increase the number of people with disabilities (PWDs) participating in all development programs and activities. Activities include, but are not limited to: strengthening disabled people's organizations (DPOs); increasing meaningful employment opportunities; provision of rehabilitative care and services; partnering with DPOs and PWDs in the design, implementation and evaluation of programs; increasing access to formal and non-formal education opportunities; increasing PWD participation in political processes and effective governance; and strengthening laws and policies that promote equal opportunity.

Initiative to End Hunger in Africa (IEHA)
Launched in 2002, the Presidential Initiative to End Hunger in Africa (IEHA) is a multi-year effort designed to help increase agricultural income and fulfill the United Nations’ Millennium Development Goal of cutting the number of hungry
people in Africa in half by 2015. This initiative focuses on promoting agricultural growth and building an African-led partnership to cut hunger and poverty by investing in agriculture oriented towards small-scale farmers. This key issue relates to just the following countries: Ethiopia, Ghana, Kenya, Malawi, Mali, Mozambique, Niger, Nigeria, South Africa, Uganda, Zambia, and the Africa Regional Platforms (East Africa, West Africa and Southern Africa)

**Invasive Species**
Actions to control or prevent the intentional or unintentional import, export, or introduction of non-native species that have the potential to cause harm to the economy, human health, or environment. Invasive species can be plants, animals (including insects), or other biological organisms. Some examples of invasive species activities are: phytosanitary programs for exports and imports; controlling non-native pests that are harmful to local agriculture or that are disease vectors; or proactive activities such as using local native species exclusively for reforestation or slope stabilization.

**Local Organization Capacity Development**
Strengthening the ability of local organizations to implement programs effectively with diminishing reliance, over time, on external technical assistance and financial support. This includes, but is not limited to, activities that improve: financial management systems; human resource management; leadership and board development; management information systems; monitoring & evaluation; quality assurance; organizational learning; strategic planning; and development of partnerships and strategic alliances.

**Microenterprise**
Activities aimed at expanding informally-organized businesses - excluding crop production - operated by the poor and employing fewer than 10 persons. Activities involve: institutional and product development for the provision of all forms of financial assistance; business development services; and policies to improve the environment for microenterprise expansion.

**Public-Private Partnership**
Activities which undertake to bring together the government sector and the private sector in order to provide services or benefit for the public good. This could include Global Development Alliances or other forms of public-private partnerships. The dollar figure you should include here is just the USG foreign assistance resources supporting the PPP. It should not include leveraged funds which may be included in the element narrative.

**Research**

**Applied Research**
Applied research is defined as systematic study to gain knowledge or understanding necessary to determine the means by which a recognized and specific need may be met.

**Basic Research**
Basic research is defined as the systematic study directed toward fuller knowledge or understanding of the fundamental aspects of phenomena and of observable facts without specific applications toward processes or products in
mind. [Note: USAID funded research is only captured by Applied and Development.]

Development Research

Development Research is defined as the systematic application of knowledge or understanding, directed toward the production of useful materials, devices, and systems or methods including design, development and improvement of prototypes and new processes to meet specific requirements.

Trade Capacity Building (TCB)

Activities in the areas of policy, human resources, institutional infrastructure, and physical infrastructure that are designed to promote trade and/or have a direct link to promoting a country’s ability to conduct trade within the global rules-based system. There are a number of categories of TCB activities that include: Negotiation and Implementation of International Trade Agreements (including programs for WTO Awareness, WTO Accession; WTO Agreements, Regional Trade Agreements, Free Trade Agreements, or other Bilateral Agreements); Customs Administration and other Trade Facilitation; E-commerce and IT; Export Promotion; Business Services and Training; Human Resources and Labor Standards; Financial Sector Development and Good Governance; Physical and Economic Infrastructure; Environment Sector Trade and Standards; Competition and Foreign Investment; Trade-related Agricultural Development; Tourism Development, Other Services Development; and Governance and Interagency Coordination.

Trafficking in Persons (TIP)

Activities designed to curtail the recruitment, transportation, purchase, sale, transfer or harboring of women or children (male or female) within or across national borders into sexually or economically exploitive situations, illegal activities, such as forced domestic labor, clandestine employment, false adoption and marriage, slavery and/or involuntary abduction into armed conflict. Activities include awareness, prevention, repatriation, rehabilitation, protection, prosecution, and advocacy programs.

Water

Activities that include: irrigation, wastewater treatment and management, desalination, freshwater and coastal ecosystem management, fisheries and aquaculture, hydropower, disaster-related hydrometeorological monitoring and forecasting, water wells, urban stormwater management, water quality management and pollution control, water infrastructure finance and water utility and water sector reform, water law and policy, aquatic biodiversity, coral reef protection and management, estuary management, groundwater/aquifer management, watershed management, river basin management and transboundary management, river flow modeling/forecasting, drought/flood/floodplain management, reservoir management, water demand management, wastewater reuse, water-related tourism, and water use allocation.

Component Areas

Capital
Activities that result in the direct transfer of cash resources for host-country use, for on-lending, or for partial credit guarantees.

Commodities/Materials
This category is limited to the actual purchase of commodities and materials. This could include, but is not limited to: pharmaceuticals, diagnostics, equipment, commodities and supplies. This includes the actual ordering, purchase, shipment and delivery of the full range of commodities and materials.

Operations Support
Operations Support includes paying for the normal operating costs of an organization, such as salaries, travel, utilities and office space. This component area should only be used if this is the ONLY cost that you are supporting for the organization. If you are providing commodities or technical assistance support for an organization, that support should be fully loaded with any indirect costs. They SHOULD NOT appear here.

Technical Assistance
Could include the provision of Expert Advisors, or TA for Behavior Change, Construction, Logistics, Needs Assessments, Policy and Guideline formulation, Quality Assurance, Strategic Information, etc.

Training
Activities that impart skills and knowledge to men and women, groups or organizations [women’s groups, laboratory personnel, community leaders, political leaders and/or religious leaders, etc.], to enhance their ability to provide quality services that are responsive to the populations needs. This includes in-service training and continuing education delivered through a variety of modalities such as workshops, distance learning, on-the-job training, mentoring, long-term and degree training, etc. Support for building specific skill areas should also be included here, for example, strengthening interpersonal communication, improving technical skills, increasing responsiveness to cultural barriers to good development outcomes, etc. Activities to strengthen or expand pre-service education, such as curriculum development or faculty training, are also included in this category.

Construction/Rehabilitation
Activities that are undertaking to construct or rehabilitate actual structures or the infrastructure in a country.

Add Other
This allows Operating Units to add their own Component Area. This should only be used where NONE of the above apply.
Annex 5

Economic Growth

Indicators and Definitions
Economic Growth Indicators

Handbook Guidance - Annex 5

Overview

Economic growth is a broad subject matter, with eight discrete and conceptually distinct areas. As such, many indicators were developed so the USG can accurately capture the most relevant activities pertaining to its development programs in economic growth. Each element has, on average, five indicators. Operating Units may not have programming in all elements and thus, will not be expected to report on all indicators. OUs will be expected to report on a subset of the entire list of indicators within the program elements they are funding. Please select the indicator—for the elements that have received funding—that best represent the activities occurring in the assistance program.

Guidance on Trade and Investment Indicators:

Additional guidance is being provided for indicators:

Number of Trade and Investment Environment diagnostics conducted (Element 2.1); and
Number of Trade and Investment capacity building diagnostics conducted (Element 2.2)

These two indicators are very similar to the indicators found under the Program Support Element. As such, if a diagnostic is being conducted that is relevant to either of these elements (2.1 and 2.2) please select the indicator under said element.
Economic Growth Indicators

**Economic Growth**

**Indicator List**

**Program Area: Macroeconomic Foundation for Growth**

**Element: EG 1.1 – Fiscal Policy**
Indicator Title: Percent of necessary preconditions for a successful Fiscal Policy Unit (FPU) established through USG assistance
Indicator Title: Number of key items of revenue policy work product prepared by the Fiscal Policy Unit
Indicator Title: Number of key personnel in fiscal policy and fiscal administration trained with USG Assistance
Indicator Title: Percentage of Fiscal Policy Unit staff funded by host government

**Element: EG 1.2 - Monetary Policy**
Indicator Title: Number of monetary policy legislative/regulatory actions taken with USG assistance
Indicator Title: Number of key transparency procedures in place for the foreign exchange auction
Indicator Title: Number of key transparency procedures in place for the auction of domestic government and central bank securities

**Program Area: Trade and Investment**

**Element: EG 2.1: Trade and Investment Enabling Environment**
Indicator Title: Number of investment measures made consistent with international investment agreements as a result of U.S. assistance
Indicator Title: Number of consultative processes with private sector as a result of USG assistance
Indicator Title: The number of new requests, offers, revised offers, or other formal text that are submitted by a host country as part of international trade talks attributable to USG assistance
Indicator Title: Number of customs harmonization procedures implemented in accordance with internationally accepted standards as a result of U.S. assistance
Indicator Title: Number of public and private sector standards-setting bodies that have adopted internationally accepted guidelines for standard setting as a result of USG assistance
Indicator Title: Number of legal, regulatory, or institutional actions (not mentioned above) taken to improve implementation or compliance with international trade and investment agreements due to support from USG-assisted organizations
Indicator Title: Number of USG supported training events held that related to improving the trade and investment environment
Economic Growth Indicators

Indicator Title: Number of participants in trade and investment environment trainings
Indicator Title: Number of trade and investment environment diagnostics conducted

Element: EG 2.2 - Trade and Investment Capacity
Indicator Title: Number of trade-related business associations that are at least 50 percent self-funded as a result of USG assistance
Indicator Title: Number of capacity-building service providers receiving USG assistance
Indicator Title: Number of firms receiving USG assistance that obtain certification with international quality control, environmental and other process voluntary standards or regulations
Indicator Title: Number of firms receiving capacity building assistance to export
Indicator Title: Number of USG supported training events on topics related to investment capacity building and improving trade
Indicator Title: Number of participants in USG supported trade and investment capacity building trainings
Indicator Title: Number of trade and investment capacity building diagnostics conducted
Indicator Title: Amount of private financing mobilized with a DCA guarantee

Program Area: Financial Sector

Element: EG 3.1 – Financial Sector Enabling Environment
Indicator Title: Number of on-site examinations undertaken this year with USG assistance
Indicator Title: Has an automated off-site surveillance system been installed and made operational this year with USG assistance
Indicator Title: Number of analysts trained in off-site surveillance with USG assistance
Indicator Title: Number of financial sector supervisors trained with USG assistance
Indicator Title: Have any new International Accounting Standards been adopted this year with USG assistance
Indicator Title: Number of financial professionals certified in compliance with international accounting standards as a result of USG assistance

Element: EG 3.2 – Financial Services
Indicator Title: Number of USG supported special funds loans issued this year
Indicator Title: Value of the USG supported special funds loans issued this year
Indicator Title: Number of material improvements in the infrastructure institutions that reduce market risks made this year with USG assistance
Indicator Title: Number of financial sector professionals trained on international standards this year with USG assistance
Program Area: Infrastructure

Element: EG 4.1 - Modern Energy Services
Indicator Title: Number of people with increased access to modern energy services as a result of USG assistance
Indicator Title: Capacity constructed or rehabilitated as a result of USG assistance
Indicator Title: Energy saved as a result of USG assistance
Indicator Title: Number of people receiving USG supported training in energy related policy and regulatory practices
Indicator Title: Number of people receiving USG supported training in technical energy fields
Indicator Title: Number of people receiving USG supported training in energy related business management systems
Indicator Title: Legal separation of generation, transmission and distribution functions in the electricity sector established this year with USG assistance
Indicator Title: Number of energy enterprises with improved business operations as a result of USG assistance
Indicator Title: Total public and private dollars leveraged by USG for energy infrastructure projects
Indicator Title: Number of energy companies prepared and offered for privatization as a result of USG assistance

Element: EG 4.2 - Communication Services
Indicator Title: Number of people with access to cellular service as a result of USG assistance
Indicator Title: Number of people with access to internet service as a result of USG assistance
Indicator Title: Total public and private dollars leveraged by USG for communication infrastructure projects
Indicator Title: Number of public institutions with access to telecommunication services as a result of USG assistance
Indicator Title: Average decrease in cost to final customer receiving cellular service after USG assistance
Indicator Title: Average decrease in cost to final customer receiving internet service after USG assistance
Indicator Title: Number of telecommunications utilities prepared and offered for privatization as a result of USG assistance
Indicator Title: Number of private sector internet service providers established as a result of USG assistance

Element: EG 4.3 - Transport Services
Indicator Title: Kilometers of transportation infrastructure constructed or repaired through USG assistance
Economic Growth Indicators

Indicator Title: Total public and private dollars leveraged with USG support for transportation infrastructure projects
Indicator Title: Number of people benefitting from USG sponsored transportation infrastructure projects
Indicator Title: Number of private institutions benefiting from USG sponsored transportation infrastructure projects
Indicator Title: Has the government adopted improved transportation related policies or plans this year as a result of USG assistance
Indicator Title: Number of people receiving USG supported training in transportation related policy and regulatory practices
Indicator Title: Number of people receiving USG supported training in transportation technical fields
Indicator Title: Number of people receiving USG supported training in transportation management systems

Program Area: Agriculture

Element: EG 5.1 Agriculture Enabling Environment
Indicator Title: Number of policy reforms analyzed with USG assistance
Indicator Title: Number of policy reforms presented for legislation/decree as a result of USG assistance
Indicator Title: Number of institutions/organizations undergoing capacity/competency assessments as a result of USG assistance
Indicator Title: Number of institutions/organizations making significant improvements based on recommendations made via USG supported assessment
Indicator Title: Number of individuals who have received USG supported short-term agricultural enabling environment training
Indicator Title: Number of individuals who have received USG supported long-term agricultural enabling environment training

Element: EG 5.2 Agricultural Sector Productivity
Indicator Title: Number of new technologies or management practices under research as a result of USG assistance
Indicator Title: Number of new technologies or management practices under field testing as a result of USG assistance
Indicator Title: Number of new technologies or management practices made available for transfer as a result of USG assistance
Indicator Title: Number of additional hectares under improved technologies or management practices as a result of USG assistance
Indicator Title: Number of additional surveillance and/or control systems in place for agricultural threats (biological and environmental) as a result of USG assistance
Indicator Title: Number of vulnerable households benefiting directly from USG assistance
Economic Growth Indicators

Indicator Title: Number of rural households benefiting directly from USG interventions
Indicator Title: Number of producers organizations, water users associations, trade and business associations, and community-based organizations (CBOs) receiving USG assistance
Indicator Title: Number of agriculture-related firms benefiting directly from USG supported interventions
Indicator Title: Number of public-private partnerships formed as a result of USG assistance
Indicator Title: Number of individuals who have received USG supported short term agricultural sector productivity training
Indicator Title: Number of individuals who have received USG supported long term agricultural sector productivity training
Indicator Title: Percent change in value of intra-regional exports of targeted agricultural commodities as a result of USG assistance
Indicator Title: Percent change in value of international exports of targeted agricultural commodities as a result of USG assistance
Indicator Title: Amount of private financing mobilized with a DCA guarantee
Indicator Title: Percent change in value of purchases from smallholders of targeted commodities as a result of USG assistance
Indicator Title: Number of women’s organizations/associations assisted as a result of USG supported interventions

Program Area: Private Sector Competitiveness

Element: EG 6.1 - Business Enabling Environment
Indicator Title: Number of the 11 core commercial laws put into place with USG assistance
Indicator Title: Number of alternative dispute resolution mechanisms put in place as a result of USG assistance
Indicator Title: Number of municipalities receiving USG assistance with regulatory/administrative simplification
Indicator Title: Number of International Labor Organization conventions ratified as a result of USG support

Element: EG 6.2 - Private Sector Productivity
Indicator Title: Number of firms receiving USG supported assistance to improve their management practices
Indicator Title: Number of SMEs receiving USG supported assistance to access bank loans or private equity
Indicator Title: Number of SMEs that successfully accessed bank loans or private equity as a result of USG assistance
Indicator Title: Number of firms receiving USG supported assistance to invest in improved technologies
Economic Growth Indicators

Indicator Title: Number of new members in private business associations as a result of USG assistance
Indicator Title: Number of public-private dialogue mechanisms utilized as a result of USG assistance
Indicator Title: Number of business associations and trade unions that are at least 50 percent self-funded as a result of USG assistance
Indicator Title: Amount of private financing mobilized with a DCA guarantee

Element: EG 6.3 - Workforce Development
Indicator Title: Number of persons participating in USG-funded workforce development programs
Indicator Title: Number of persons completing USG-funded workforce development programs
Indicator Title: Number of people gaining employment or better employment as a result of participation in USG-funded workforce development programs
Indicator Title: Number of people transitioning to further education and training as a result of participation in USG-funded workforce development programs
Indicator Title: Number of workforce development initiatives created through USG assisted public-private partnerships
Indicator Title: Number of new or improved workforce development policies drafted through USG assistance

Program Area: Economic Opportunity

Element: EG 7.1 - Inclusive Financial Markets
Indicator Title: Number of clients at USG-assisted microfinance institutions
Indicator Title: Total savings deposits held by USG-assisted microfinance institutions
Indicator Title: Percent of portfolio outstanding of USG assisted micro finance institutions held as poverty loans
Indicator Title: Number of microfinance institutions supported by USG financial or technical assistance
Indicator Title: Percent of USG-assisted microfinance institutions that have reached operational sustainability
Indicator Title: Percent of USG-assisted microfinance institutions that have reached financial sustainability
Indicator Title: Amount of private financing mobilized with a DCA guarantee

Element: EG 7.2 - Policy Environment for Micro and Small Enterprises
Indicator Title: Number of proposed improvements in laws and regulations affecting the operations of micro enterprises drafted with USG assistance
Indicator Title: Number of proposed improvements in laws and regulations affecting the registration of micro enterprises drafted with USG assistance

Element: EG 7.3 - Strengthen Micro Enterprise Productivity
Economic Growth Indicators

Indicator Title: Number of micro enterprises participating in USG assisted value chains
Indicator Title: Number of micro enterprises receiving business development services from USG assisted sources
Indicator Title: Total number of micro enterprises receiving finance from participating firms in a USG assisted value chain
Indicator Title: Number of micro enterprise linked to larger-scale firms as a result of USG assistance to the value chain
Indicator Title: Amount of private financing mobilized with a DCA guarantee

Element: EG 7.4 - Inclusive Economic Law and Property Rights
Indicator Title: Number of obstacles to women’s economic activities (due to legal discrimination) eliminated as a result of USG assistance
Indicator Title: Number of proposed improvements in laws and regulations affecting property rights of the urban and rural poor drafted with USG assistance

Program Area: Environment

Element: EG 8.1 - Natural Resources and Biodiversity
Indicator Title: Number of hectares under improved natural resource management as a result of USG assistance.
Indicator Title: Number of hectares in areas of biological significance under improved management as a result of USG assistance.
Indicator Title: Number of hectares of natural resources showing improved biophysical conditions as a result of USG assistance.
Indicator Title: Number of hectares in areas of biological significance showing improved biophysical conditions as a result of USG assistance.
Indicator Title: Number of policies, laws, agreements or regulations promoting sustainable natural resource management and conservation that are implemented as a result of USG assistance.
Indicator Title: Number of people with increased economic benefits derived from sustainable natural resource management and conservation as a result of USG assistance.
Indicator Title: Number of people receiving USG supported training in natural resources management and/or biodiversity conservation.
Indicator Title: Value of revenue generated from diamonds/ timber/ oil/ gold/ coltan transparently accounted for in the national budget.
Indicator Title: Percent of revenue generated from diamonds/ timber/ oil/ gold/ coltan transparently accounted for in the national budget.

Element: EG 8.2 - Clean Human Environment
Indicator Title: Energy and materials savings due to improved practices as a percentage of overall country’s consumption.
Indicator Title: Number of people with increased adaptive capacity to cope with impacts of climate variability and change as a result of USG assistance.
Economic Growth Indicators

Indicator Title: Number of people receiving USG supported training in global climate change including Framework Convention on Climate Change, greenhouse gas inventories, mitigation, and adaption analysis
Indicator Title: Number of people receiving USG supported training in environmental law, enforcement, public participation, and cleaner production policies, strategies, skills, and techniques
Indicator Title: Number of improved laws/policies/regulation/agreements related to pollution and urban environment drafted with USG Assistance
Indicator Title: Number of USG-supported initiatives/mechanisms designed to reduce the potential for violent conflict over the control, exploitation, trade or protection of natural resources
**Objective:**
Economic Growth

**Program Area:**
Agriculture

<table>
<thead>
<tr>
<th>Element: 5.1 Agriculture Enabling Environment</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Indicator Title:</strong> Number of policy reforms analyzed with USG assistance</td>
</tr>
</tbody>
</table>
| **Definition:**
Number of policies for which diagnosis/analysis has been completed to improve the policy environment for smallholder-based agriculture. |
| **Rationale:**
The indicator measures the progress towards an enhanced enabling environment for agriculture whose sub-elements are specific policy sectors. |
| **Unit:** Number of policy reforms analyzed |
| **Type:** Output/Outcome |
| **Data Source:** Implementing partners |

<table>
<thead>
<tr>
<th>Element: 5.1 Agriculture Enabling Environment</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Indicator Title:</strong> Number of policy reforms presented for legislation/decree as a result of USG assistance</td>
</tr>
</tbody>
</table>
| **Definition:**
Number of policy reforms presented for legislation/decree to improve the policy environment for smallholder-based agriculture. |
| **Rationale:**
The indicator measures the progress towards an enhanced enabling environment for agriculture whose sub-elements are specific policy sectors. This indicator is easily aggregated upward from all operating units. |
| **Unit:** Number of policy reforms presented |
| **Type:** Output/Outcome |
| **Data Source:** Implementing partner |

**Measurement Notes:**
### Element: EG 5.1 Agriculture Enabling Environment

**Indicator Title:** Number of institutions/organizations undergoing capacity/competency assessments as a result of USG assistance

**Definition:**
Number of institutions/organizations undergoing capacity/competency assessments in the areas of governance system; operations & management system; human resources development system; financial management system; program & service delivery system; and/or external relations & advocacy system.

**Rationale:**
Measures institutional/organizational capacity in agriculture and progress towards transformation to mature/viable institutions/organizations.

<table>
<thead>
<tr>
<th>Unit: Number of institutions/organizations</th>
<th>Disaggregate by: None</th>
</tr>
</thead>
<tbody>
<tr>
<td>Type: Output/Outcome: Output</td>
<td>Direction of Change: Higher = better</td>
</tr>
<tr>
<td>Data Source: Implementing partners</td>
<td></td>
</tr>
<tr>
<td>Measurement Notes:</td>
<td></td>
</tr>
</tbody>
</table>

---

### Element: EG 5.1 Agriculture Enabling Environment

**Indicator Title:** Number of institutions/organizations making significant improvements based on recommendations made via USG supported assessment

**Definition:**
Number of institutions/organizations making significant improvements capacity/competency strengthening in the areas of governance system; operations & management system; human resources development system; financial management system; program & service delivery system; and/or external relations & advocacy system.

**Rationale:**
Measures institutional/organizational capacity in agriculture and progress towards transformation to mature/viable institutions/organizations.

<table>
<thead>
<tr>
<th>Unit: Number of institutions/organizations</th>
<th>Disaggregate by: None</th>
</tr>
</thead>
<tbody>
<tr>
<td>Type: Output/Outcome: Outcome</td>
<td>Direction of Change: Higher = better</td>
</tr>
<tr>
<td>Data Source: Implementing partners</td>
<td></td>
</tr>
<tr>
<td>Measurement Notes:</td>
<td></td>
</tr>
</tbody>
</table>
## ELEMENT: EG 5.1 AGRICULTURE ENABLING ENVIRONMENT

### INDICATOR TITLE: NUMBER OF INDIVIDUALS WHO HAVE RECEIVED USG SUPPORTED SHORT-TERM AGRICULTURAL ENABLING ENVIRONMENT TRAINING

**DEFINITION:**
The number of people to whom significant knowledge or skills have been imparted through formal or informal means. In-country and off-shore training are included. Knowledge or skills gained through technical assistance activities is included. If the activity provided training to trainers, and if the reporting unit can make a credible estimate of follow-on training provided by those trainers, this estimate should be included. Individuals attending more than one training are counted as many times as they attend training.

**RATIONALE:**
Measures enhanced human capacity for policy formulation and implementation which is key to transformational development.

<table>
<thead>
<tr>
<th>Unit:</th>
<th>Disaggregate by:</th>
<th>Direction of Change:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Number of people</td>
<td>Sex</td>
<td>Higher = better</td>
</tr>
</tbody>
</table>

**Type:** Output/Outcome

**Data Source:** Implementing partners

**Measurement Notes:**

---

## ELEMENT: EG 5.1 AGRICULTURE ENABLING ENVIRONMENT

### INDICATOR TITLE: NUMBER OF INDIVIDUALS WHO HAVE RECEIVED USG SUPPORTED LONG-TERM AGRICULTURAL ENABLING ENVIRONMENT TRAINING

**DEFINITION:**
The number of people who are currently enrolled in or graduated in the current fiscal year from a bachelor's, master's or Ph.D. program or are currently participating in or have completed in the current fiscal year a long term (more than six months) advanced training program such as a fellowship program or a post-doctoral studies program. A person completing one long term training program in the fiscal year and currently participating in another long term training program may be counted twice.

**RATIONALE:**
Measures enhanced human capacity for policy formulation and implementation which is key to transformational development.

<table>
<thead>
<tr>
<th>Unit:</th>
<th>Disaggregate by:</th>
<th>Direction of Change:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Number of people</td>
<td>Sex</td>
<td>Higher = better</td>
</tr>
</tbody>
</table>

**Type:** Output/Outcome

**Data Source:** Implementing partners

**Measurement Notes:**
**ELEMENT:** EG 5.2 AGRICULTURAL SECTOR PRODUCTIVITY

**INDICATOR TITLE:** NUMBER OF NEW TECHNOLOGIES OR MANAGEMENT PRACTICES UNDER RESEARCH AS A RESULT OF USG ASSISTANCE

**DEFINITION:**
Number of technologies, management practices, or products under research/development. Technologies to be counted here are agriculture-related technologies and innovations.

The definition of agriculture is a food, feed, and fiber system stretching from input supply and production through marketing and processing to domestic consumption and exports. Food and non-food crops, livestock products, fisheries, agro-forestry, and natural resource-based products are included.

The technologies may relate to any of these products at any point on the supply chain. They may include improved practices such as sustainable land management.

Significant improvements to existing technologies should be counted; an improvement would be significant if, among other reasons, it served a new purpose or allowed a new class of users to employ it. Examples include a scaled-down milk container that allows individuals to carry it easily, a new blend of fertilizer for a particular soil, and tools modified to suit a particular management practice.

**RATIONALE:**
This indicator tracks research and technology investments and progress toward dissemination.

<table>
<thead>
<tr>
<th><strong>UNIT:</strong></th>
<th><strong>DISAGGREGATE BY:</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td>Number of technologies/ practices</td>
<td>None</td>
</tr>
</tbody>
</table>

**TYPE:** OUTPUT/OUTCOME

- Output

**DIRECTION OF CHANGE:**

- Higher = better

**DATA SOURCE:**
Implementing partners

**MEASUREMENT NOTES:**

**Objective:**
**Economic Growth**

**Program Area:**
**Agriculture**

<table>
<thead>
<tr>
<th>Element:</th>
<th>EG 5.2 Agricultural Sector Productivity</th>
</tr>
</thead>
<tbody>
<tr>
<td>Indicator Title:</td>
<td>Number of New Technologies or Management Practices Under Field Testing as a Result of USG Assistance</td>
</tr>
</tbody>
</table>

**Definition:**
Number of technologies, management practices, or products under field testing. Technologies to be counted here are agriculture-related technologies and innovations.

The definition of agriculture is a food, feed, and fiber system stretching from input supply and production through marketing and processing to domestic consumption and exports. Food and non-food crops, livestock products, fisheries, agro-forestry, and natural resource-based products are included.

The technologies may relate to any of these products at any point on the supply chain. They may include improved practices such as sustainable land management.

Significant improvements to existing technologies should be counted; an improvement would be significant if, among other reasons, it served a new purpose or allowed a new class of users to employ it. Examples include a scaled-down milk container that allows individuals to carry it easily, a new blend of fertilizer for a particular soil, and tools modified to suit a particular management practice.

**Rationale:**
This indicator tracks research and technology investments and progress toward dissemination.

**Unit:**
Number of technologies/practices

**Disaggregate by:**
None

**Type:** Output/Outcome

**Direction of Change:**
Higher = better

**Data Source:**
Implementing partners

**Measurement Notes:**
**Objective:**
**Economic Growth**

<table>
<thead>
<tr>
<th>Element:</th>
<th>EG 5.2 Agricultural Sector Productivity</th>
</tr>
</thead>
<tbody>
<tr>
<td>Indicator Title:</td>
<td>Number of New Technologies or Management Practices Made Available for Transfer as a Result of USG Assistance</td>
</tr>
</tbody>
</table>

**Definition:**

Number of technologies, management practices, or products made available. Technologies to be counted here are agriculture-related technologies and innovations.

The definition of agriculture is a food, feed, and fiber system stretching from input supply and production through marketing and processing to domestic consumption and exports. Food and non-food crops, livestock products, fisheries, agro-forestry, and natural resource-based products are included. The technologies may relate to any of these products at any point on the supply chain. They may include improved practices such as sustainable land management.

Significant improvements to existing technologies should be counted; an improvement would be significant if, among other reasons, it served a new purpose or allowed a new class of users to employ it. Examples include a scaled-down milk container that allows individuals to carry it easily, a new blend of fertilizer for a particular soil, and tools modified to suit a particular management practice.

Note that completing a research activity does not in itself constitute having made a technology available. In the case of crop research that developed a new variety, e.g., the variety must have passed through any required approval process, and seed of the new variety should be available for multiplication. The technology should have proven benefits and be as ready for use as it can be as it emerges from the research and testing process. In some cases, more than one operating unit may count the same technology. This would occur if the technology were developed, for instance, in collaboration with a U.S. university and passed through regional collaboration to other countries.

**Rationale:**

This indicator tracks research and technology investments and progress toward dissemination.

<table>
<thead>
<tr>
<th>Unit:</th>
<th>Disaggregate by:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Number of technologies/practices</td>
<td>None</td>
</tr>
</tbody>
</table>

**Type:** Output/Outcome  
**Direction of Change:** Higher = better

**Data Source:** Implementing partners

**Measurement Notes:**
**ELEMENT:** **EG 5.2 AGRICULTURAL SECTOR PRODUCTIVITY**

**INDICATOR TITLE:** NUMBER OF ADDITIONAL HECTARES UNDER IMPROVED TECHNOLOGIES OR MANAGEMENT PRACTICES AS A RESULT OF USG ASSISTANCE

**DEFINITION:**
Number of hectares brought under improved technologies and/or management practices in this year (includes management practices, tenure arrangements, and administrative systems such as water user associations, etc.).

**RATIONALE:**
Tracks successful adoption of technologies and management practices to improve agricultural productivity.

**UNIT:**
- Number of hectares
- Type: Output/Outcome

**DISAGGREGATE BY:**
- None

**DIRECTION OF CHANGE:**
- Higher = better

**DATA SOURCE:**
- Implementing partner

**MEASUREMENT NOTES:**

---

**ELEMENT:** **EG 5.2 AGRICULTURAL SECTOR PRODUCTIVITY**

**INDICATOR TITLE:** NUMBER OF ADDITIONAL SURVEILLANCE AND/OR CONTROL SYSTEMS IN PLACE FOR AGRICULTURAL THREATS (BIOLOGICAL AND ENVIRONMENTAL) AS A RESULT OF USG ASSISTANCE

**DEFINITION:**
Number of additional surveillance systems in place for agricultural threats (biological, e.g., avian influenza, and environmental).

**RATIONALE:**
Tracks capacity of country to identify threats that reduce agricultural sector productivity.

**UNIT:**
- Number of surveillance and control systems
- Type: Output/Outcome

**DISAGGREGATE BY:**
- None

**DIRECTION OF CHANGE:**
- Higher = better

**DATA SOURCE:**
- Implementing partners

**MEASUREMENT NOTES:**
**ELEMENT:** EG 5.2 AGRICULTURAL SECTOR PRODUCTIVITY

**INDICATOR TITLE:** NUMBER OF VULNERABLE HOUSEHOLDS BENEFITING DIRECTLY FROM USG ASSISTANCE

**DEFINITION:**
A household is a beneficiary if it contains at least one individual who is a beneficiary. An individual is a beneficiary if s/he is engaged with a project activity and either already has shown benefit from the activity) or has a high likelihood of gaining one of those benefits due to his/her significant level of engagement with the project.

Beneficiaries do not include those merely contacted or touched by an activity through brief attendance at a meeting or gathering.

The definition of “vulnerable” will be the definition used by the operating unit in formulating its Results Framework and activities. Possible groups include but are not limited to: HIV/AIDS sufferers and their families and those affected by drought, conflict and low assets (poverty traps).

Beneficiaries include people who receive training. Household data will be disaggregated by the gender of the claimed or presumed head.

**RATIONALE:**
Tracks access and equitable access to services in targeted area.

<table>
<thead>
<tr>
<th>Unit:</th>
<th>Disaggregate by:</th>
<th>Direction of Change:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Number of vulnerable households</td>
<td>Sex of the claimed or presumed head</td>
<td>Higher = better</td>
</tr>
</tbody>
</table>

**Type:** Output/Outcome

**Data Source:**
Implementing partners

**Measurement Notes:**
ELEMENT: EG 5.2 AGRICULTURAL SECTOR PRODUCTIVITY

INDICATOR TITLE: NUMBER OF RURAL HOUSEHOLDS BENEFITING DIRECTLY FROM USG INTERVENTIONS

DEFINITION:
A household is a beneficiary if it contains at least one individual who is a beneficiary. An individual is a beneficiary if s/he is engaged with a project activity and either already has shown benefit from the activity) or has a high likelihood of gaining one of those benefits due to his/her significant level of engagement with the project.

Beneficiaries do not include those merely contacted or touched by an activity through brief attendance at a meeting or gathering.

The definition of “rural” should be the definition used by the respective national statistical service.

Beneficiaries include people who receive training. Household data will be disaggregated by the gender of the claimed or presumed head.

If a project’s tactic is to work through a group or association to create benefits for the membership of that group or association, the members of the group can be counted as direct beneficiaries, even if the technical assistance is not provided directly to those individuals. The implementing partner needs to be able to demonstrate from the records of the group or otherwise that the assistance was transmitted to its membership. This would be particularly clear and feasible for small producer groups and trade associations; it would not be credible for an apex cooperative association that might have hundreds of thousands of members.

RATIONALE:
Tracks access and equitable access to services in targeted area.

UNIT: Output

DISAGGREGATE BY:
Sex of the claimed or presumed head.

TYPE: OUTPUT/OUTCOME
Number of households

DIRECTION OF CHANGE:
Higher = better

DATA SOURCE:
Implementing partners

MEASUREMENT NOTES:
**ELEMENT: EG 5.2 AGRICULTURAL SECTOR PRODUCTIVITY**

**INDICATOR TITLE:** NUMBER OF PRODUCERS ORGANIZATIONS, WATER USERS ASSOCIATIONS, TRADE AND BUSINESS ASSOCIATIONS, AND COMMUNITY-BASED ORGANIZATIONS (CBOs) RECEIVING USG ASSISTANCE

**DEFINITION:**
Organizations assisted are those that are engaged with a project activity and either already have shown benefit from the activity (as measured by any of the types) or have a high likelihood of gaining one of those benefits due to their significant level of engagement with the project.

"Organizations assisted" does not include those merely contacted or touched by an activity through brief attendance at a meeting or gathering by one or more employees.

Operating units should require of each implementing partner a written explanation for why those included in the partner's estimates of beneficiaries belong there.

Organizations whose primary purpose is to serve women are not included, because they are counted in a separate indicator. In some cases, producer associations or other organizations operate firms. In these cases both entities could be counted (under organizations assisted and under firms assisted) if both the organization and the firm receive appropriate (presumably different) types of assistance.

**RATIONALE:**
Tracks private sector and civil society capacity building to increase agricultural sector productivity.

<table>
<thead>
<tr>
<th>UNIT: Number of organizations/associations</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>DISAGGREGATE BY:</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>TYPE: OUTPUT/OUTCOME</th>
</tr>
</thead>
<tbody>
<tr>
<td>Output</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>DIRECTION OF CHANGE:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Higher = better</td>
</tr>
</tbody>
</table>

**DATA SOURCE:**
Implementing partners

**MEASUREMENT NOTES:**
**ELEMENT:** EG 5.2 AGRICULTURAL SECTOR PRODUCTIVITY  
**INDICATOR TITLE:** NUMBER OF AGRICULTURE-RELATED FIRMS BENEFITING DIRECTLY FROM USG SUPPORTED INTERVENTIONS

**DEFINITION:**  
An enterprise is a beneficiary if it is engaged with a project activity and either already has shown benefit from the activity or has a high likelihood of gaining one of those benefits due to its significant level of engagement with the project.

Benefiting firms do not include those merely contacted or touched by an activity through brief attendance at a meeting or gathering.

The definition of agriculture is a food, feed, and fiber system stretching from input supply and production through marketing and processing to domestic consumption and exports. Food and non-food crops, livestock products, fisheries, agro-forestry, and natural resource-based products are included.

Benefiting firms include those whose employees receive training. In some cases, producers associations or other organizations operate firms. In these cases both entities could be counted (under organizations assisted and under firms assisted) if both the organization and the firm receive appropriate (presumably different) types of assistance. Regional organizations sometimes work with private firms as both partners and beneficiaries; when this is the case, these firms should be counted in both categories.

**RATIONALE:**  
Tracks private sector capacity building to increase agricultural sector productivity.

<table>
<thead>
<tr>
<th>UNIT:</th>
<th>DISAGGREGATE BY:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Number of firms</td>
<td>None</td>
</tr>
</tbody>
</table>

**TYPE:** OUTPUT/OUTCOME  
**OUTPUT:|

**DIRECTION OF CHANGE:**
Higher = better

**DATA SOURCE:**  
Implementing partners

**MEASUREMENT NOTES:**
**DEFINITION:**
Public entities include: the USG, developed country governments, multilateral development institutions, national governments of developing countries, and universities or other arms of national governments.

For-profit enterprises and non-governments organizations (NGOs) are considered private.

A partnership is considered formed when there is a clear agreement, usually written, to work together to achieve a common objective. There must be either a cash or in-kind significant contribution to the effort by both the public and the private entity. An operating unit or an implementing mechanism may form more than one partnership with the same entity, but this likely to be rare. In counting partnerships we are not counting transactions with a partner entity; we are counting the number of partnerships formed.

**RATIONALE:**
This indicator measures USG leveraging of agricultural sector resources to promote transformational development.

<table>
<thead>
<tr>
<th>UNIT:</th>
<th>DISAGGREGATE BY:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Number of partnerships</td>
<td>None</td>
</tr>
</tbody>
</table>

**TYPE:** OUTPUT/OUTCOME

<table>
<thead>
<tr>
<th>Output</th>
<th>DIRECTION OF CHANGE:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Higher = better</td>
<td></td>
</tr>
</tbody>
</table>

**DATA SOURCE:**
Implementing partners

**MEASUREMENT NOTES:**
ELEMENT: EG 5.2 AGRICULTURAL SECTOR PRODUCTIVITY
INDICATOR TITLE: NUMBER OF INDIVIDUALS WHO HAVE RECEIVED USG SUPPORTED SHORT TERM AGRICULTURAL SECTOR PRODUCTIVITY TRAINING

DEFINITION:
The number of people to whom significant knowledge or skills have been imparted through formal or informal means. In-country and off-shore training are included. Knowledge or skills gained through technical assistance activities is included. If the activity provided training to trainers, and if the reporting unit can make a credible estimate of follow-on training provided by those trainers, this estimate should be included. Individuals attending more than one training are counted as many times as they attend training.

RATIONALE:
Measures enhanced human capacity for policy formulation and implementation which is key to transformational development.

UNIT: Number of people
DISAGGREGATE BY: Sex

TYPE: OUTPUT/OUTCOME
Output

DIRECTION OF CHANGE:
Higher = better

DATA SOURCE:
Implementing partners

MEASUREMENT NOTES:

ELEMENT: EG 5.2 AGRICULTURAL SECTOR PRODUCTIVITY
INDICATOR TITLE: NUMBER OF INDIVIDUALS WHO HAVE RECEIVED USG SUPPORTED LONG TERM AGRICULTURAL SECTOR PRODUCTIVITY TRAINING

DEFINITION:
The number of people who are currently enrolled in or graduated in the current fiscal year from a bachelor’s, master’s or Ph.D. program or are currently participating in or have completed in the current fiscal year a long term (more than six months) advanced training program such as a fellowship program or a post-doctoral studies program. A person completing one long term training program in the fiscal year and currently participating in another long term training program may be counted twice.

RATIONALE:
Measures enhanced human capacity for policy formulation and implementation which is key to transformational development.

UNIT: Number of people
DISAGGREGATE BY: Sex

TYPE: OUTPUT/OUTCOME
Output

DIRECTION OF CHANGE:
Higher = better

DATA SOURCE:
Implementing partners

MEASUREMENT NOTES:
**ELEMENT:** EG 5.2 AGRICULTURAL SECTOR PRODUCTIVITY

**INDICATOR TITLE:** PERCENT CHANGE IN VALUE OF INTRA-REGIONAL EXPORTS OF TARGETED AGRICULTURAL COMMODITIES AS A RESULT OF USG ASSISTANCE

**DEFINITION:**
The exports to be counted here are those from countries for which the regional or central operating unit has an active program or where the bilateral operating unit can justify counting spillover benefits from its program to other countries in the region. Exports of the targeted commodities to all other countries in the sub-region should be counted. The commodities to be counted are those that are targeted in the work plans and/or contracts of the implementing partners. Results of "transport corridor enhancement" activities would not be counted in this indicator, as their objectives are more general than targeting specific commodities.

**RATIONALE:**
Increased agricultural trade is one of the end results of efficient markets.

**UNIT:**
Percent change in value of targeted exports

**DISAGGREGATE BY:**
None

**TYPE:** OUTPUT/OUTCOME
Outcome

**DIRECTION OF CHANGE:**
Higher = better

**DATA SOURCE:**
Implementing partners

**MEASUREMENT NOTES:**
**ELEMENT:** EG 5.2 AGRICULTURAL SECTOR PRODUCTIVITY  
**INDICATOR TITLE:** PERCENT CHANGE IN VALUE OF INTERNATIONAL EXPORTS OF TARGETED AGRICULTURAL COMMODITIES AS A RESULT OF USG ASSISTANCE  

**DEFINITION:**  
The exports to be counted here are those from countries for which the bilateral, regional or central operating unit has an active program. Exports of the targeted commodities to all international markets should be counted. The commodities to be counted are those that are targeted in the work plans and/or contracts of the implementing partners. Results of “transport corridor enhancement” or “trade capacity building” activities would not be counted in this indicator, as their objectives are more general than targeting specific commodities.

**RATIONALE:**  
Increased agricultural trade is one of the end results of efficient markets and of integration into global markets.

**UNIT:**  
Percent change in value of targeted exports  

**DISAGGREGATE BY:**  
None

**TYPE:** OUTPUT/OUTCOME  
Outcome

**DIRECTION OF CHANGE:**  
Higher = better

**DATA SOURCE:**  
Implementing partners

**MEASUREMENT NOTES:**

---

**ELEMENT:** EG - 5.2 AGRICULTURAL SECTOR PRODUCTIVITY  
**INDICATOR TITLE:** AMOUNT OF PRIVATE FINANCING MOBILIZED WITH A DCA GUARANTEE  

**DEFINITION:**  
The amount of financing in US dollars or US dollar equivalent from the private sector that is guaranteed by the Development Credit Authority guarantee AND has been provided to a targeted borrower(s).

**RATIONALE:**  
This indicator measures the amount of private financing available to male and female agriculture producers. Without the DCA guarantee, expanded access to credit would not have been achieved, resulting in lost opportunity for improved agricultural returns.

**UNIT:**  
US Dollars or equivalent  

**DISAGGREGATE BY:**  
Sex

**TYPE:** OUTPUT/OUTCOME  
Outcome

**DIRECTION OF CHANGE:**  
Higher = better

**DATA SOURCE:**  
DCA guarantee recipient

**MEASUREMENT NOTES:**

---

55
<table>
<thead>
<tr>
<th>ELEMENT:</th>
<th>EG 5.2 AGRICULTURAL SECTOR PRODUCTIVITY</th>
</tr>
</thead>
<tbody>
<tr>
<td>INDICATOR TITLE:</td>
<td>PERCENT CHANGE IN VALUE OF PURCHASES FROM SMALLHOLDERS OF TARGETED COMMODITIES AS A RESULT OF USG ASSISTANCE</td>
</tr>
</tbody>
</table>

**DEFINITION:**
The value of domestic agricultural trade by smallholders of targeted commodities.

**RATIONALE:**
This indicator measures the enhanced competitiveness of smallholder-based agriculture and economic growth

<table>
<thead>
<tr>
<th>UNIT:</th>
<th>DISAGGREGATE BY:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Percent change in purchases</td>
<td></td>
</tr>
</tbody>
</table>

**TYPE:** OUTPUT/OUTCOME

**Outcome**

**DATA SOURCE:**
Implementing partners

**MEASUREMENT NOTES:**
**Objective:**

**Economic Growth**

<table>
<thead>
<tr>
<th>Element</th>
<th>EG 5.2 Agricultural Sector Productivity</th>
</tr>
</thead>
<tbody>
<tr>
<td>Indicator Title:</td>
<td>Number of Women's Organizations/Associations Assisted as a Result of USG Supported Interventions</td>
</tr>
</tbody>
</table>

**Definition:**

Organizations assisted are those that are engaged with a project activity and either already have shown benefit from the activity (as measured by any of the types) or have a high likelihood of gaining one of those benefits due to their significant level of engagement with the project.

"Organizations assisted" does not include those merely contacted or touched by an activity through brief attendance at a meeting or gathering by one or more employees.

Only those organizations whose primary purpose is to serve women should be counted. In some cases, men will be members of these organizations; this would not prevent counting the organization, as long as the primary intended beneficiaries of the organization are women.

Operating units should require of each implementing partner a written explanation for why those included in the partner's estimates of beneficiaries belong there.

Organizations whose primary purpose is to serve women are not included, because they are counted in a separate indicator. In some cases, producer associations or other organizations operate firms. In these cases both entities could be counted (under organizations assisted and under firms assisted) if both the organization and the firm receive appropriate (presumably different) types of assistance.

**Rationale:**

Tracks USG investments to increase capacity of women in agriculture.

**Unit:**

Number of Women's Organizations/Associations

**Disaggregate By:**

None

**Type:** Output/Outcome

Output

**Direction of Change:**

Higher = better

**Data Source:**

Implementing partners

**Measurement Notes:**